

This Page Is Inserted by IFW Operations
and is not a part of the Official Record

BEST AVAILABLE IMAGES

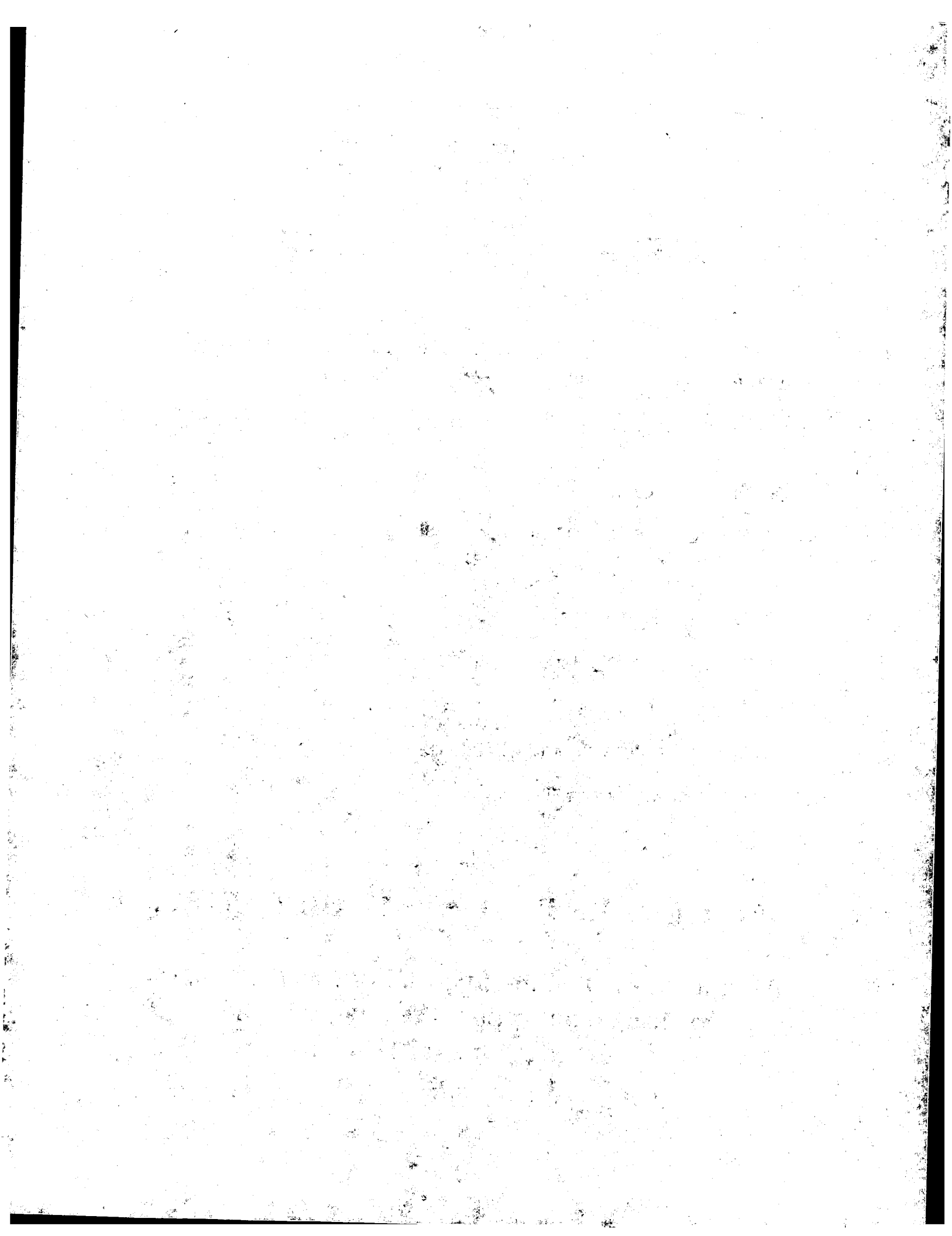
Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

**As rescanning documents *will not* correct images,
please do not report the images to the
Image Problem Mailbox.**



PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION
International Bureau



CM 2633 M

INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁵ : A61K 7/135	A1	(11) International Publication Number: WO 94/16672 (43) International Publication Date: 4 August 1994 (04.08.94)
(21) International Application Number: PCT/US94/01071 (22) International Filing Date: 28 January 1994 (28.01.94) (30) Priority Data: 08/010,420 28 January 1993 (28.01.93) US (71) Applicant: CCP, INC. [US/US]; 25 Andrews Drive, West Paterson, NJ 07424 (US). (72) Inventor: CASKEY, Paul, F.; 38 Fieldstone Drive, Sussex, NJ 07461 (US). (74) Agent: DUNN, Diane, F.; Mathews, Woodbridge & Collins, 100 Thanet Circle, Suite 306, Princeton, NJ 08540-3662 (US).	(81) Designated States: BR, CA, JP, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE). Published With international search report.	
(54) Title: POWDER BLEACHING COMPOSITIONS FOR HAIR AND METHOD OF USE THEREOF (57) Abstract The invention relates to a powder bleaching composition for bleaching hair or keratinous fiber and methods for the production and use of the composition. The powder bleaching composition comprises a conditioner of about 2 % to about 15 % by weight of said composition, a bleach accelerator of about 40 % to about 60 % by weight of said composition, a thickener of about 1 % to about 10 % by weight of said composition, a silica component of about 2 % to about 11 % by weight of said composition, and a hydrogen peroxide source of about 15 % to about 23 % by weight of said composition, with a sufficient amount of water to provide a water-activated composition. The above composition prevents damage to the hair during bleaching and provides limited ammonia odor during use.		

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	GB	United Kingdom	MR	Mauritania
AU	Australia	GE	Georgia	MW	Malawi
BB	Barbados	GN	Guinea	NE	Niger
BE	Belgium	GR	Greece	NL	Netherlands
BF	Burkina Faso	HU	Hungary	NO	Norway
BG	Bulgaria	IE	Ireland	NZ	New Zealand
BJ	Benin	IT	Italy	PL	Poland
BR	Brazil	JP	Japan	PT	Portugal
BY	Belarus	KE	Kenya	RO	Romania
CA	Canada	KG	Kyrgyzstan	RU	Russian Federation
CF	Central African Republic	KP	Democratic People's Republic of Korea	SD	Sudan
CG	Congo	KR	Republic of Korea	SE	Sweden
CH	Switzerland	KZ	Kazakhstan	SI	Slovenia
CI	Côte d'Ivoire	LI	Liechtenstein	SK	Slovakia
CM	Cameroon	LK	Sri Lanka	SN	Senegal
CN	China	LU	Luxembourg	TD	Chad
CS	Czechoslovakia	LV	Latvia	TG	Togo
CZ	Czech Republic	MC	Monaco	TJ	Tajikistan
DE	Germany	MD	Republic of Moldova	TT	Trinidad and Tobago
DK	Denmark	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	US	United States of America
FI	Finland	MN	Mongolia	UZ	Uzbekistan
FR	France			VN	Viet Nam
GA	Gabon				

5 TITLE: POWDER BLEACHING COMPOSITIONS FOR
 HAIR AND METHOD OF USE THEREOF

10 BACKGROUND OF THE INVENTION

1. Field of the Invention

 This invention relates to water-activated
powder compositions for improved hair bleaching.

2. Description of the Prior Art

15 It is well known to use hydrogen peroxide as a
bleaching agent on hair. However, the use of hydrogen
peroxide has the disadvantage that it does not penetrate
the hair follicle. In addition hydrogen peroxide has the
disadvantage of irritating sensitive scalps.

20 Activating compounds have been added to
hydrogen peroxide for improving the penetration of bleach
into the hair follicle. These liquid compositions have
disadvantages such as poor shelf life and expensive
shipping characteristics.

25 A conventional solution for eliminating the
need for hydrogen peroxide is to use a dry composition
which produces hydrogen peroxide when water activated.
U.S. Patent No. 4,313,932 describes a composition which
forms a hair bleaching solution when mixed with water.
30 A hydrogen peroxide producer compound is used which
releases or generates hydrogen peroxide when dissolved in
water and is a solid when dry. An ammonium compound in

addition to a bleach accelerator is used which generates ammonium ions when dissolved in water. A thickener of finely divided silica and dried acrylic polymer latex or a homo- or copolymer of acrylamide produces desired viscosity of the solution. A bleach accelerator selected from alkali metal and either ammonium peroxydisulfates or peroxydiphosphates acts as a booster for the bleaching action. The 4,313,932 patent has the disadvantage that the use of an alkali metal accelerator may cause damage to the hair and that the use of an additional ammonium compound increases ammonia odor.

U.S. Patent No. 4,247,537 describes a bleaching powder in a vapor proof enclosure which is capable upon aqueous activation of bleaching hair or keratinous fiber. The bleaching composition includes at least 25% by weight of ammonium persulfate, at least 25% by weight of sodium percarbonate or potassium percarbonate and at least 4 to 10% of pyrogenic silica. A two step mixing process is used for premixing the ammonium persulfate with a portion of pyrogenic silica and mixing the percarbonate compound with the remaining portion of pyrogenic silica prior to the whole composition being mixed together. The composition of this patent using at least 25% sodium percarbonate has the disadvantage that the hair may lose its normal resilience and the hair may become dry and brittle. In addition the use of at least 25% of ammonium

persulfate releases an undesirable ammonia odor. Also, the use of at least 4% pyrogenic silica increases the likelihood that the bleach will dry on the head and flake off onto the face or into the eyes.

5 Of possible general relevance are U.S. Patent No. 3,726,967 related to a dry free flowing bleaching composition and U.S. Patent No. 5,064,441 relate to a solid stabilized hydrogen peroxide composition.

10 Common complaints by salon owners and operators are of undesirable high levels of ammonia odors and damage to the hair. A stable water-activated powder composition which prevents damage to the hair during bleaching and provides limited ammonia odor during use is desirable in the cosmetic industry.

15

SUMMARY OF THE INVENTION

20 The present invention relates to a powder composition for bleaching of hair or keratinous fiber and a method for the preparation thereof comprising a conditioner. A conditioner of about 2% to about 15% by weight of said composition, a bleach accelerator of about 40% to about 60% by weight of said composition, a thickener of about 1% to about 10% by weight of said composition, a silica component of about 2% to about 11% by weight of said composition, and a hydrogen peroxide source of about 15% to about 23% by weight of said composition, with a sufficient amount of water to provide

25

a water-activated composition. Preferably, the particle size of the final powder composition is less than 20 mesh.

5 The present invention further relates to a method of bleaching hair or keratinous fiber comprising the steps of mixing an amount of the above powder composition with sufficient water to provide a water-activated composition, applying the water-activated composition to hair or keratinous fiber and removing the
10 applied water-activated composition from the hair or keratinous fiber.

 The powder bleaching composition of the present invention advantageously minimizes damage or prevents excess damage to the hair during bleaching and provides
15 limited ammonia odor during use.

DETAILED DESCRIPTION OF THE INVENTION

 The novel hair bleaching compositions of the present invention are stable water-activated powders which bleach reduces unwanted odors and damage to the
20 hair. Preferably, the powder bleaching composition includes a conditioner, a bleach accelerator, a thickener, silica and a peroxide source.

 Conditioners that can be utilized in the present invention condition hair without hindering
25 bleaching activity. The proportion of the conditioner that is added to the powder bleaching composition is from

about 2 to about 15% by weight of the powder bleaching composition. Preferably, aluminum stearate, sodium stearate, magnesium stearate, or mixtures thereof can be used as conditioners. Protein compounds such as hydrolyzed collagen also can be employed as conditioners. While other organic compounds can be used as conditioners, these compounds should be evaluated for stability in the powder bleaching composition.

A bleach accelerator promotes the bleaching action of the hydrogen peroxide generated when the powder bleaching composition is mixed with water. Ammonium persulfate, potassium persulfate or sodium persulfate and mixtures thereof can be used in a concentration of about 40 to about 60% by weight of the powder bleaching composition. It is preferable to minimize the use of ammonium persulfate to reduce the ammonia odor from the water-activated bleaching composition. Therefore, it is preferable to use less ammonium persulfate and substitute therefor potassium persulfate or sodium persulfate. Preferably, ammonium persulfate forms about 10 to about 15% by weight of the powder bleaching composition and potassium persulfate or sodium persulfate forms about 25 to about 50% by weight of the powder bleaching composition.

Thickeners utilized in the present invention enhance viscosity which prevents the water-activated

bleaching composition from running off of the head during bleaching and aids in suspending components of the powder bleaching composition. Thickeners also allow the loading of a sufficient amount of water into the bleaching system to drive the reaction and release hydrogen peroxide for suitable bleaching. The powder bleaching composition includes about 1 to about 10% thickener by weight of the powder bleaching composition. Preferably, hydroxypropyl methylcellulose, hydroxyethylcellulose and mixtures thereof are used as thickeners. Algin and organic gums such as cellulose gums or xanthan gums can also be employed as thickeners. Sodium metasilicate can be added in addition to the above-described thickeners.

A silica component is used in the powder bleaching composition as an anti-caking agent and a desiccant agent. The silica component can be used in concentrations of about 2 to about 11% of the powder bleaching composition. Preferably, hydrated silica in an amount of about 1 to about 8% of the powder bleaching composition and silica in an amount of about 1 to about 3% of the powder bleaching composition are used. The use of hydrated silica and the concurrent reduction of silica reduces the potential for the water-activated composition to dry out after application to the hair.

A hydrogen peroxide source releases the hydrogen peroxide upon water-activation which is required

to bleach hair. The hydrogen peroxide source forms about 15 to about 23% of the powder bleaching composition. Preferred peroxide sources are sodium percarbonate and potassium percarbonate. The hydrogen peroxide source can also be a perhydrate such as sodium phosphate perhydrate and sodium carbonate perhydrate. It will be appreciated that other hydrogen peroxide sources known in the art for releasing hydrogen peroxide can be used.

A surfactant can be used in the powder bleaching composition in a concentration of about 0.5 to about 4% of the powder bleaching composition. Surfactants useful in the present invention are sodium lauryl sulfate and ammonium lauryl sulfate. It will be appreciated that other surfactants known in the art can be used in the powder bleaching composition.

In addition, a chelating agent can be included in the powder bleaching composition. Preferably, ethylenediaminetetraacetic acid (EDTA) can be employed in a concentration of about 0.5 to about 5.0% of the powder bleaching composition.

Sugar can be used as a humectant to retain moisture in the hair and prevent drying out. Preferably, sugar is used in a concentration of about 0 to about 2% of the powder bleaching composition.

Fragrance can be added to the powder bleaching composition for enhancing the cosmetic appeal of the

bleach. The fragrance helps to mask the minimal ammonia odor released from the water-activated bleaching composition. Preferably, fragrance is used in a concentration of about 0.5 to about 3% of the powder bleaching composition.

The powder bleaching compositions are formulated by blending the components in a suitable vessel. Preferably, the components are added sequentially according to known practices in the cosmetic art. The optional fragrance component can be added by spraying on the final powder bleaching composition, or by incorporating the fragrance component into one of the other components or by blending the fragrance component with the other components. It will be appreciated that other methods known in the art can be used for adding the fragrance.

The powder bleaching composition of the present invention is preferably formed of particles which are sufficiently fine so that no large crystals or agglomerates, known as "hot spots", accumulate on hair to cause uneven bleaching or scalp burns, and the particles are sufficiently large so that the powder bleaching composition is not fine dust. Preferably, the particle size of the powder bleaching composition is less than 20 mesh. The final powder bleaching composition can be milled or in the alternative components having a particle

size of less than 20 mesh can be used in forming the composition. A secondary mixing process can be used after the milling process to re-mix those ingredients which may have stratified as a result of milling.

5 The powder bleaching composition can be used to bleach human hair or other keratinous fiber. The hair bleaching composition after activation with water forms a bleach which can achieve 1-7 levels of lift. The bleached hair has a smooth appearance and is uniformly
10 bleached. The powder bleaching composition can also be used for lifting deposited color out of hair in order to prepare the hair for re-dyeing. The powder bleaching composition is advantageous for use in perming hair after bleaching.

15 A water-activated bleach composition is preferably prepared by mixing 1 part of the powder bleaching composition with 1 or 2 parts of water in a conventional manner such as in a non-metal bowl. The solution is allowed to stand for at least one minute to
20 achieve a suitable viscosity of the water-activated composition. The water-activated composition is applied to the hair in a conventional manner. Heat can be applied to the hair after application of the water-activated composition to accelerate bleaching. Suitable
25 heat sources include hair dryers and heat lamps. The applied water-activated composition can be removed from

the hair by rinsing with water or shampoo.

The following examples will serve to further typify the nature of this invention but should not be construed as a limitation on the scope thereof, which scope is defined solely by the appended claims.

11

EXAMPLE 1

	<u>AMOUNT (g)</u>	<u>INGREDIENT</u>	
5		Magnesium Stearate	3.0
		Cellulose Gum	3.0
		Algin	2.0
		Hydrolyzed Collagen	4.0
		Hydrated Silica	4.0
10		Ammonium Persulfate	20.0
		Silica	1.5
		Hydroxypropyl Methylcellulose	10.0
		Sodium Percarbonate	21.0
		Potassium Persulfate	<u>31.5</u>
15			100.0

The foregoing ingredients are blended in a suitable vessel. The resulting mixture is milled to yield particles of a size less than 20 mesh.

20 EXAMPLE 2

	<u>AMOUNT (g)</u>	<u>INGREDIENT</u>	
		Aluminum Stearate	4.0
		Ammonium Persulfate	20.0
25		Ethylenediaminetetraacetic Acid (EDTA)	0.5
		Hydroxyethylcellulose	3.5
		Potassium Persulfate	46.0
		Silica	2.0
		Sodium Stearate	5.0
30		Hydrated Silica	2.0
		Sodium Lauryl Sulfate	2.0
		Sodium Percarbonate	<u>15.0</u>
			100.0

35 The foregoing ingredients are blended in a suitable vessel. The resulting mixture is milled to yield particles of a size less than 20 mesh.

EXAMPLE 3

40 The powder bleaching composition produced in Example 2 is mixed with water in a ratio of from 1:1 to

1:2 (composition:water) in a non-metal bowl. After
allowing the water-activated composition to set for about
one minute to achieve a suitable viscosity, a strand test
is performed on the human subject's hair to determine
(and thus correct for) any intersubject variability in
time required for lift. The water-activated composition
then is applied to the subject's hair and the subject is
placed under a hair dryer for approximately 20 minutes.
The water-activated composition is removed from the hair
by rinsing with water.

The treated hair is smooth and uniformly
bleached.

While the invention has been described with
reference to the preferred embodiment thereof, it will be
appreciated by those of ordinary skill in the art that
modifications can be made to the structure and elements
of the invention without departing from the spirit and
scope of the invention as a whole.

I CLAIM:

1. A powder composition for bleaching hair or keratinous fiber comprising:

a conditioner of about 2% to about 15% by weight of said composition;

a bleach accelerator of about 40% to about 60% by weight of said composition;

a thickener of about 1% to about 10% by weight of said composition;

a silica component of about 2% to about 11% by weight of said composition; and

a hydrogen peroxide source of about 15% to about 23% by weight of said composition.

2. The composition of claim 1 wherein said conditioner is selected from the group consisting of aluminum stearate, sodium stearate, magnesium stearate, protein compounds, organic conditioning compounds, and mixtures thereof.

3. The composition of claim 1 wherein said bleach accelerator is selected from the group consisting of ammonium persulfate, potassium persulfate, sodium persulfate, and mixtures thereof.

4. The composition of claim 3 wherein said ammonium persulfate forms about 10% to about 15% by

weight of said composition and said potassium persulfate forms about 25% to about 50% by weight of said composition.

5. The composition of claim 1 wherein said thickener is selected from the group consisting of hydroxypropyl methylcellulose, hydroxyethylcellulose, algin, gums, sodium metasilicate, and mixtures thereof.

6. The composition of claim 1 wherein said silica component is selected from the group consisting of hydrated silica and silica.

7. The composition of claim 6 wherein said hydrated silica forms about 1% to about 8% of said composition and said silica forms about 1% to about 3% of said composition.

8. The composition of claim 1 wherein said hydrogen peroxide source is selected from the group consisting of sodium percarbonate and potassium percarbonate.

9. The composition of claim 1 further comprising a surfactant in an amount of about 0.5% to about 4% by weight of said composition.

10. The composition of claim 1 further comprising a sugar in an amount of 2% or less by weight of said composition.

11. The composition of claim 11 further comprising a fragrance.

12. A method of bleaching hair or keratinous fiber comprising the steps of:

mixing an amount of a powder composition capable of bleaching hair or keratinous fiber, said composition comprising a conditioner of about 2% to about 15% by weight of said composition, a bleach accelerator of about 40% to about 60% by weight of said composition, a thickener of about 1% to about 10% by weight of said composition, a silica component of about 2% to about 11% by weight of said composition, and a hydrogen peroxide source of about 15% to about 23% by weight of said composition, with a sufficient amount of water to provide a water-activated composition;

applying said water-activated composition to said hair or keratinous fiber; and

removing said applied water-activated composition from the hair.

13. The method of claim 12 further comprising the step of:

heating said water-activated composition after the step of applying said water-activated composition to said hair.

14. A method for preparing a powder composition for bleaching hair or keritinous fiber comprising the step of:

mixing a conditioner of about 2% to about 15% by weight of said composition, a bleach accelerator of about 40% to about 60% by weight of said composition, a thickener of about 1% to about 10% by weight of said composition, a silica component of about 2% to about 11% by weight of said composition, and a hydrogen peroxide source of about 15% to about 23% by weight of said composition for forming said powder composition.

15. The method of claim 14 wherein said conditioner, said bleach accelerator, said thickener, said silica component and said hydrogen peroxide source are mixed sequentially.

16. The method of claim 14 further comprising the step of grinding said formed composition to a particle size of less than 20 mesh.

17. The method of claim 14 wherein said conditioner, said bleach accelerator, said thickener,

said silica component and said hydrogen peroxide source
have a particle size of less than 20 mesh.

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US94/01071

A. CLASSIFICATION OF SUBJECT MATTER

IPC(5) : A61K 7/135
US CL : 424/62

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
U.S. : 424/62, 424/70

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)
APS

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US, A, 4,313,932 (WATTS) 02 FEBRUARY 1982, columns 2-8.	1-17
Y	US, A, 4,247,537 (LUNN ET AL) 27 JANUARY 1981, column 6.	1-17
Y	EP, A, 0 033 687 (PERMA) 12 AUGUST 1981, pages 2-4.	1-17
A	US, A, 3,378,444 (SWANSON) 16 APRIL 1968, column 1.	1-17

☐ Further documents are listed in the continuation of Box C.

☐ See patent family annex.

Special categories of cited documents:	
A document defining the general state of the art which is not considered to be part of particular relevance	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
E earlier document published on or after the international filing date	*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
L document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
O document referring to an oral disclosure, use, exhibition or other means	*G* document member of the same patent family
P document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

10 MARCH 1994

Date of mailing of the international search report

15 APR 1994

Name and mailing address of the ISA/US
Commissioner of Patents and Trademarks
Box PCT
Washington, D.C. 20231

Authorized officer

THURMAN K. PAGE

Renewed for